

When completed please post to address shown on back page.

Financial Details If you are applying in joint names please provide combined information for both of you in the boxes below.

Gross Annual Income:	Property Value:	Total Outstanding Mortgage & Loans:

Regular Financial Commitments

Mortgage/Rent, Regular Household Bills etc:	If NOT Retired:
Per Month:	Estimated Annual Income at Retirement: and, Expected Retirement Date:

Bank Details

Bank:	Account Title:	Branch:
Sort Code:	Account Number:	
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Current Investments Please turn to the back page to provide full details of your equity portfolio.

Approximate Value of Current Investments: (in 000's)		
Bank & Building Society	£	*(Please specify)
National Savings	£	
Investment Trusts & Unit Trusts	£	
PEPs	£	
ISAs	£	
Equity Portfolio <small>(Please detail overleaf)</small>	£	
Other*	£	
Estimated Total of Net Liquid Assets <small>(Total of all above)</small>	£	

Investment Objectives and Risk

Primarily to Maximise:		
Income: <input checked="" type="checkbox"/>	Income and Capital Growth: <input checked="" type="checkbox"/>	Capital Growth: <input checked="" type="checkbox"/>

Preferred Level of Risk:		
Low: <input checked="" type="checkbox"/>	Medium: <input checked="" type="checkbox"/>	High: <input checked="" type="checkbox"/>

Have you ever traded Contracts For Difference (CFDs) or Spread Bet? Yes or No. If Yes with who?

Preferred Classes of Investment:			
Low Risk: <input checked="" type="checkbox"/> Gilts <input checked="" type="checkbox"/> Bonds <input checked="" type="checkbox"/> Investment Trusts <input checked="" type="checkbox"/> Unit Trusts	Medium Risk: <input checked="" type="checkbox"/> FTSE 100 <input checked="" type="checkbox"/> FTSE 250 <input checked="" type="checkbox"/> FTSE All Shares	High Risk: <input checked="" type="checkbox"/> FTSE Small Cap <input checked="" type="checkbox"/> AIM <input checked="" type="checkbox"/> Penny Shares <input checked="" type="checkbox"/> Private Equity	Margin Trading: <input checked="" type="checkbox"/> Futures <input checked="" type="checkbox"/> Contracts For Differences (CFDs) <input checked="" type="checkbox"/> Spread Betting

Knowledge, Experience and Understanding of Investing in Stocks

Please indicate your familiarity with the market. For example, if you are an experienced investor with a good knowledge of the market, you understand the risks associated with investing in shares, you understand the risk warning, bid -- offer spreads, liquidity, settlement requirements, etc.

Experience:					
	Inexperienced: (Never traded)	Inexperienced: (But sufficient to trade)	Limited Experience: (Upto 3 years trading)	Experienced: (Over 3 years trading)	Sophisticated: (Full understanding)
Markets in general:	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
High Risk (e.g. AIM, Penny Shares etc):	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
Margin Trading (e.g. Futures, CFDs etc):			<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Trading Style and Frequency of Trading

Please indicate how often you usually buy and sell shares and what your usual average bargain size is when investing.

No of years you have been investing in the stock market:				
<1	1 - 3	3 - 5	5 - 10	10+
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Average Length of Time you Hold Investments:		
Short Term / 0-18 months	Medium Term / 18-36 months	Long Term / 36+ months
<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Average Number of Trades in a Year:	Average Bargain Size:
	<input type="checkbox"/> FTSE/Main Market: <input type="checkbox"/> AIM/Small Caps/Unlisted (High risk):

If you have any restrictions on types of investment or limits which you may wish to impose and any information that you believe would be useful to us in advising you on your investments please advise us below. If there is insufficient space please detail separately:

Client Approval

I agree that I will inform you of any change to the above information. I also agree that at all times Alexander David Securities Limited (ADS) will advise me on the basis of the information which I have supplied above. I also understand that ADS will advise me on investing in shares in companies based on information that is in the public domain for those companies as far as is reasonably possible or practical. I understand that information that comes to light after receiving advice from ADS could adversely affect the price and or the liquidity in a stock. I understand that performance of a stock in the market is at my risk and that ADS are not responsible for any losses incurred as a result of any unforeseen factors affecting the performance of a stock recommended by ADS. By signing this document I confirm that I have read and understood your Terms & Conditions and accept your Commission Rates.

Signature: <div style="border: 1px solid black; height: 50px;"></div>	Joint Signature (if required): <div style="border: 1px solid black; height: 50px;"></div>	Date: <div style="border: 1px solid black; height: 50px;"></div>
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INTERNAL USE ONLY	
BROKER SIGNATURE: <div style="border: 1px solid black; height: 20px;"></div>	DATE: <div style="border: 1px solid black; height: 20px;"></div>

